



STRATEGIC TRADE MANAGEMENT OFFICE

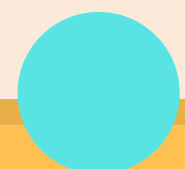
RESTRICTED PARTY SCREENING

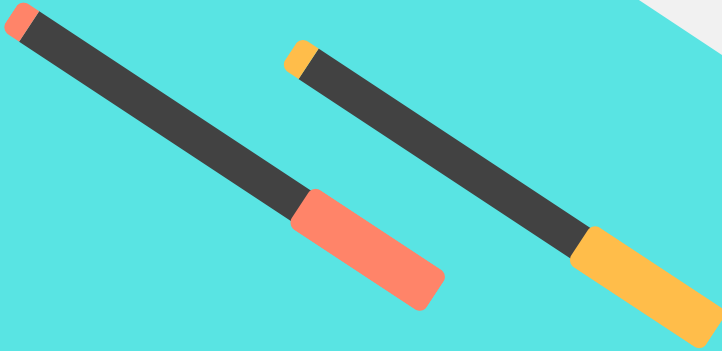
**Supplementary guideline to
DTI-STMO Memorandum Circular 20-13 s. 2020
List of Prohibited End-Users**

WHAT IS

RESTRICTED PARTY SCREENING?

Republic Act No. 10697 (Strategic Trade Management Act) and its Implementing Rules and Regulation restrict or prohibit a natural or juridical person from exporting, re-exporting, re-assigning, transiting, trans-shipping, importing, or providing services of any kind to a party contained in the United Nations Security Council (UNSC) sanctions list. Restricted party screening involves a review of UNSC sanctions list to ensure that the person or an entity with whom you are transacting with is not a restricted or prohibited end-user.





THE UNSC CONSOLIDATED LIST

The United Nations Security Council has a Consolidated List which includes all individuals and entities subject to sanction measures. A comprehensive list of UNSC sanctions can be found on the UNSC Sanctions Committees website.

The UNSC Consolidated List is organized into two sections: (i) Individuals and (ii) Entities and Groups. Entries in each section are listed alphabetically. Further details relating to the reasons for each sanction listing are available on the website of the corresponding UN sanctions regime.

To keep abreast of all updates to the UNSC Consolidated List – enlisting and delisting of individuals and entities and updates on the implementation of decisions – visit the UNSC website regularly (<https://www.un.org/securitycouncil/>)

USING THE UNSC CONSOLIDATED LIST

Some companies have automated the restricted party screening process through the use of software or subscription solutions. Your company may consider availing of these to assist in your overall compliance. There are companies, however, who would opt to do a manual screening. The below guidelines help you screen against the UNSC Consolidated List:

1

Access the UNSC Sanctions List tool through [HTTPS://SCSANCTIONS.UN.ORG/SEARCH/](https://scsanctions.un.org/search/)

The screenshot shows the 'United Nations Security Council Sanctions List Search' web interface. At the top, there are radio buttons for language selection: العربية, 中文, English (selected), Français, Русский, and Español. Below this is a 'FULL TEXT SEARCH' section with a text input field containing 'A Hongkong Electronics'. Underneath the input field, there is explanatory text: 'You can enter more than one word to search separated by space. For example: case 1: if you enter John Doe the results shown will consist of individuals or entities that contain the word "John" or "Doe". If you are searching for an exact phrase include it between quotes "your phrase here". The field is not mandatory when Advanced search options are used. For best results search words entered must be at least 3 characters long.' At the bottom of the search area are 'Search' and 'reset' buttons. A link for 'Advanced search options' is provided at the very bottom.

2

Input the name of the person or entity in the search box. You may also expand the advanced search option to key in other parameters. In the Illustration above, "Hong Kong Electronics" was entered in the search box as example to find out if your customer in Hong Kong is restricted.

USING THE UNSC CONSOLIDATED LIST

3

The results page will display all potential matches. Determine whether any of the matches are exact matches. The results page will include sanctioned party information such as aliases, address, nationality, and other information.

A. Individuals

QDi.320 Name: 1: ABD-AL-HAMID 2: AL-MASLI 3: na 4: na

Name (original script): عبدالحميد المصلي

Title: na **Designation:** na **DOB:** 1976 **POB:** a) Darnah, Libya b) Danar, Libya **Good quality a.k.a.:** a) Abd-al-Hamid Muhammad Abd-al-Hamid Al-Masli b) Abd-al-Hamid Musalli c) Hamid Masli **Low quality a.k.a.:** Hamza Darnawi d) Hamzah Darnawi e) Hamzah Dirnawi f) Hamza Darnawi g) Hamza al-Darnawi h) Abdullah Darnawi i) Abu-Hamzah al-Darnawi **Nationality:** Libya **Passport no:** na **National identification no:** Federally Administered Tribal Areas, Pakistan **Listed on:** 26 Nov. 2013 (amended on 1 May 2019) **Other information:** Leader and trainer of an Al-Qaida electronics and explosives workshop producing improvised explosives. Security Council resolution 2253 (2015) was concluded on 21 Feb. 2019. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>

QDi.312 Name: 1: AAMIR 2: ALI 3: CHAUDHRY 4: na

Name (original script): عامر علي چوہدری

Title: na **Designation:** na **DOB:** 3 Aug. 1986 **POB:** na **Good quality a.k.a.:** a) Aamir Ali Chaudary b) Aamir Ali Choudry c) Amir Ali Chaudry **Low quality a.k.a.:** Huzaifa **Nationality:** Pakistan **Passport no:** na **National identification no:** (expiring 27 Oct. 2013) **National identification no:** Pakistani 33202-7126636-9 **Address:** na **Listed on:** 18 Oct. 2012 (amended on 1 May 2019) **Other information:** Electronics and explosives expert for Tehri planning for TTP. Provided financial and logistical support for TTP and participated in TTP-sponsored militant training. Review pursuant to Security Council resolution 2253 (2015) was concluded on 21 Feb. 2019. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

B. Entities and other groups

KPe.005 Name: HONG KONG ELECTRONICS

A.k.a.: HONG KONG ELECTRONICS KISH CO. **F.k.a.:** na **Address:** Sanaee Street, Kish Island, Iran **Listed on:** 16 Jul. 2009 **Other information:** Owned or controlled by, or acts or purports to act for or on behalf of, HONG KONG ELECTRONICS. HONG KONG ELECTRONICS has transferred millions of dollars of proliferation-related funds on behalf of Tanchon Commercial Bank and KOMID (both designated by the Committee in April 2009) since 2007. Hong Kong 1999. Iran to the DPRK on behalf of KOMID.

KPe.059 Name: HUAXIN SHIPPING HONGKONG LTD

A.k.a.: 華信船務(香港)有限公司 **F.k.a.:** na **Address:** Room 2105, Trend Centre, 29-31 Chueng Lee Street, Chai Wan, Hong Kong, China **Listed on:** 30 Mar. 2018 **Other information:** Ship and commercial marine services. The vessel "ASIA BRIDGE 1" was instructed on 19 October 2017 by Huaxin Shipping to make preparations for entry into Nampo, DPRK to receive a shipment of coal bound for Vietnam. The "ASIA BRIDGE 1" was instructed to make preparations to receive 8,000 metric tons of coal and then sail to Cam Pha, Vietnam. The master of the vessel was instructed to cover the ship's name and other markings using canvas while in port.

USING THE UNSC CONSOLIDATED LIST

4

In the example above, the second match displayed is quite identical to the customer being searched, Hong Kong Electronics. The restricted party in the results page is an entity located in Iran. Determine if the two indeed are the same or related, based on the information provided and information from your own research.

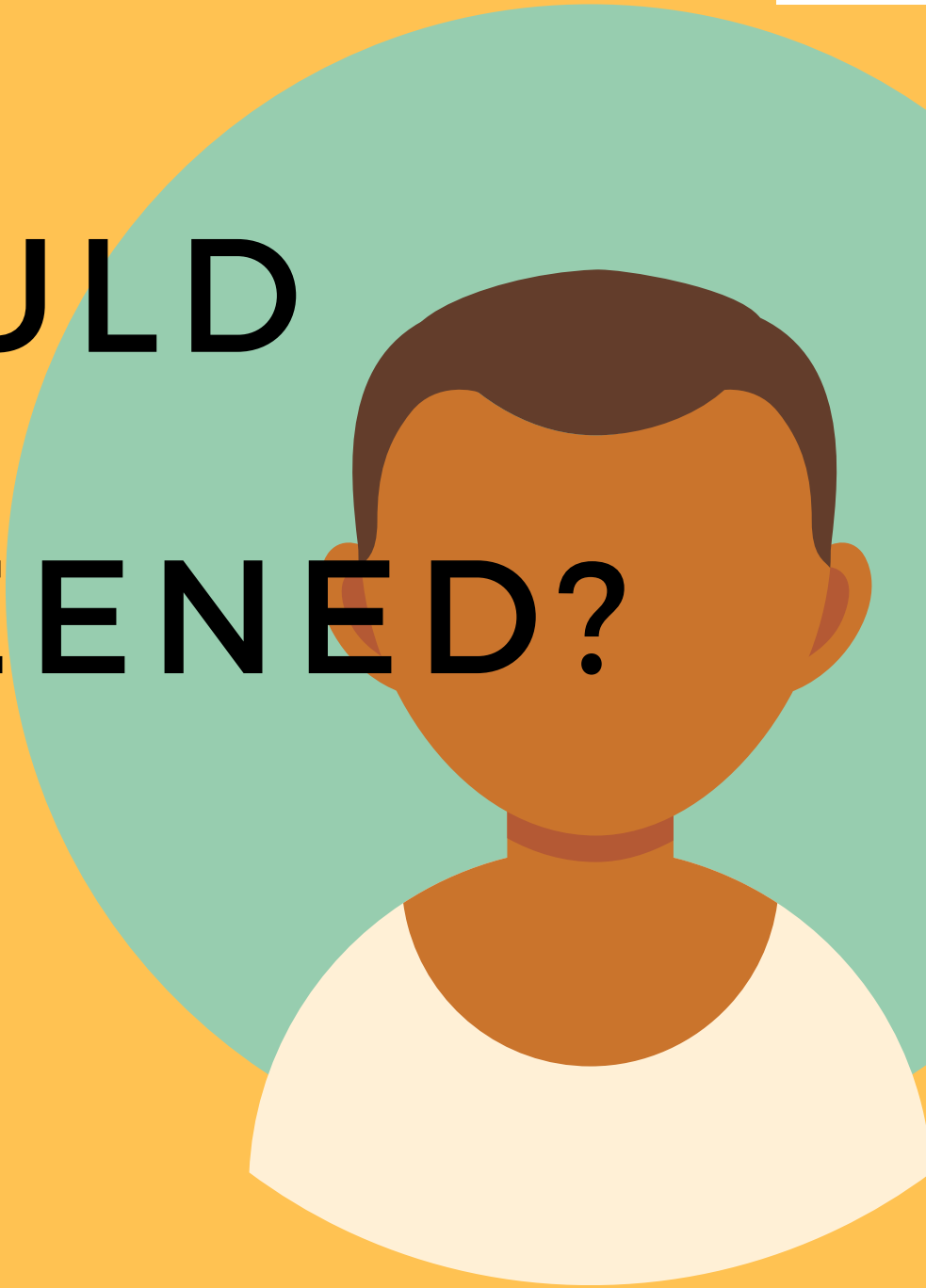
5

You should maintain records of every restricted party screening that you run as part of your record keeping obligations provided in the STMA Implementing Rules and Regulations.

6

The entire Consolidated List is downloadable in this link:
[HTTPS://WWW.UN.ORG/SECURITYCOUNCIL/CONSOLIDATED-LIST.](https://www.un.org/securitycouncil/consolidated-list)

WHO SHOULD BE SCREENED?



Generally, screening should be performed on any party to which an NSGL-listed good (finished goods, equipment, parts, etc.) or information (includes controlled software and technology) is distributed, the final end-user, and the chain of individuals and businesses in between - consignees, forwarding agents, banks and other financial institutions facilitating payments, service providers, carriers and vessels, and others.



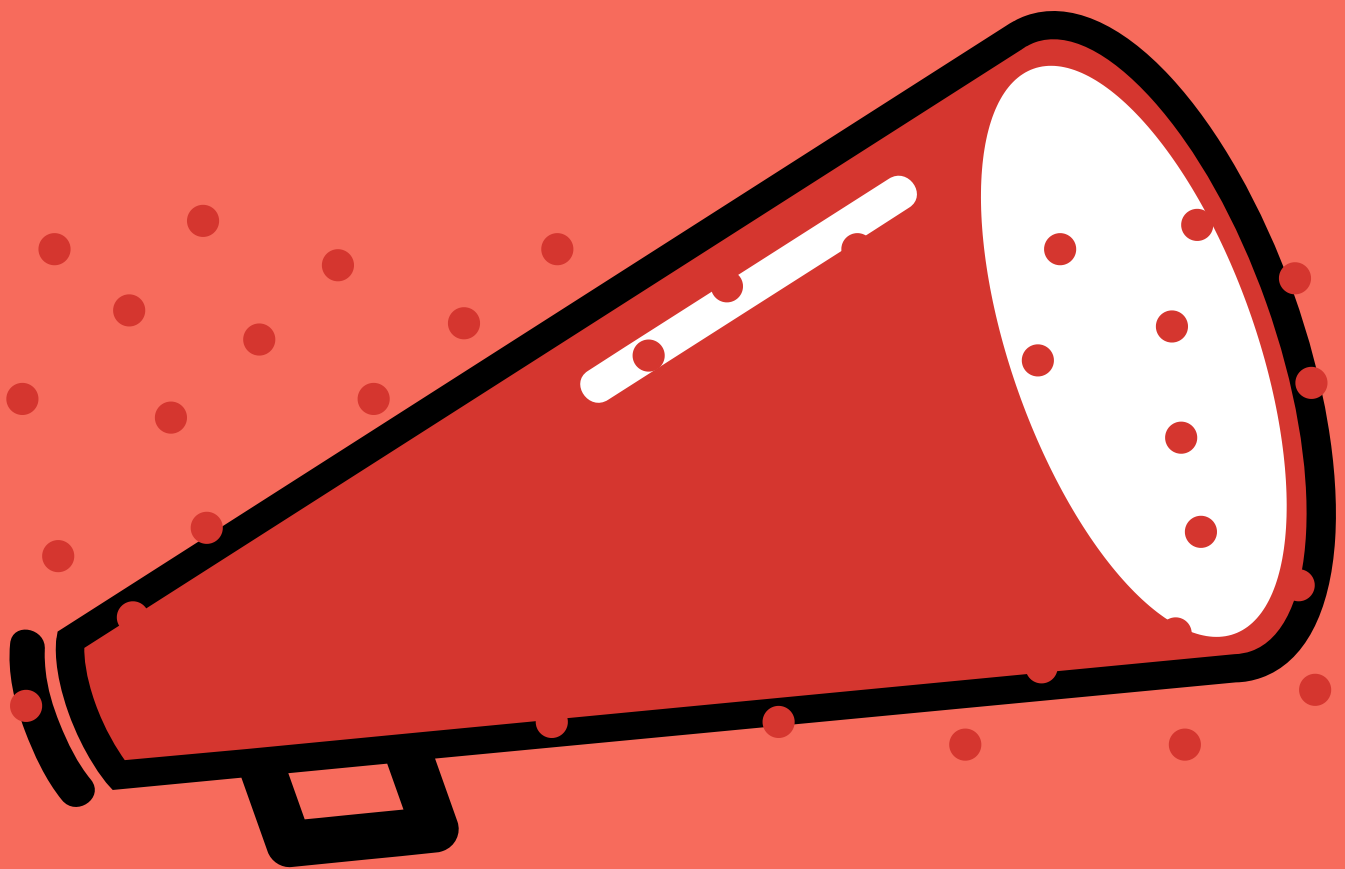
SCREENING OTHER RESTRICTED PARTY LIST

In addition to the UNSC sanctions, some Countries also maintain their list of restricted parties called unilateral sanctions. Considering that your international operations may be affected by these unilateral sanctions, it is your company's prerogative to screen against these lists and incorporate them into your due diligence and compliance system.

Some of these sanctions are issued by the US Department of State, US Department of Treasury, US Department of Commerce, Japan Ministry of Economy, Trade and Industry, and the European Union.

FOR MORE INFORMATION

The Strategic Trade Management Office published guidelines on Registration, Commodity Classification, Internal Compliance Program Set-up on our website. The updated URL is :
www.dti.gov.ph/trabaho/strategic-trade-management/



STMO may also be
reached through
stmoinfo@dti.gov.ph